

## Exercises for Computability and Complexity, Spring 2017, Sheet 6 – Solutions

Please return your solutions in the Thursday lecture on March 23.

**Exercise 1** Consider the set  $T$  of all single-tape TMs with tape alphabet  $\Sigma = \{0, 1, \sqcup, \triangleright\}$ . Design a coding scheme by which every TM  $M$  in  $T$  becomes coded by a codeword  $\langle M \rangle \in \{0, 1, \#\}^*$ . Describe your coding scheme in formal notation and use it to encode the ultra-simple TM  $M$  with tape alphabet  $\Sigma = \{0, 1, \sqcup, \triangleright\}$  and states  $\{s, \text{yes}, \text{no}\}$  that has the following transition table:

$p \in K$	$\sigma \in \Sigma$	$\delta(q, \sigma)$
$s$	0	(yes, 0, $\leftarrow$ )
$s$	1	( $s$ , 1, $\rightarrow$ )
$s$	$\sqcup$	(no, $\sqcup$ , $\leftarrow$ )
$s$	$\triangleright$	( $s$ , $\triangleright$ , $\rightarrow$ )

**Solution.** Here is one of a zillion of possibilities: Let  $M \in T$  have  $l$  states  $s_1, s_2, \dots, s_l$  (including the  $h$ , yes, no states). Encode a state  $s_i$  by  $\langle s_i \rangle := \#\text{bin}(i)$ , where  $\text{bin}(i)$  is the binary representation of the number  $i$ . Encode the three cursor move directions by  $\langle \rightarrow \rangle = \#01$ ,  $\langle \leftarrow \rangle = \#10$ ,  $\langle \rightarrow \rangle = \#00$ , and tape symbols by  $\langle 0 \rangle = \#0$ ,  $\langle 1 \rangle = \#1$ ,  $\langle \sqcup \rangle = \#00$ ,  $\langle \triangleright \rangle = \#11$ . Let  $R = s_i \sigma (s_j, \sigma, d)$  be a row in a transition table  $\text{Tab}(M)$  of  $M$ , where  $d$  is one of  $\rightarrow$ ,  $\leftarrow$ ,  $\leftarrow$ . Code  $R$  by  $\langle R \rangle = \langle s_i \rangle \langle \sigma \rangle \langle s_j \rangle \langle \sigma \rangle \langle d \rangle$ . Let  $R_1, \dots, R_m$  be the rows of  $\text{Tab}(M)$ . Code the transition table by  $\langle \text{Tab}(M) \rangle = \langle R_1 \rangle \dots \langle R_m \rangle$  and we are done, because the TM is uniquely specified by this table. For the example, put  $s_1 = s$ ,  $s_2 = h$ ,  $s_3 = \text{“yes”}$ ,  $s_4 = \text{“no”}$ , leading to  $\langle s \rangle = \#1$ ,  $\langle h \rangle = \#2$ , etc. Then the four rows given in the table in Exercise 1 translate to

$$\langle M \rangle = \#1\#0\#11\#0\#00\#1\#1\#1\#1\#01\#1\#00\#100\#00\#00\#1\#11\#1\#11\#01$$

**Exercise 2 (rather easy)** Prove that  $H_2 = \{\langle M \rangle ; x \mid \text{Code}(\langle M \rangle) \text{ and } \text{Standard}(x) \text{ and there exists some } y \text{ with } \text{Standard}(y) \text{ such that } M(x) = y\}$  from Proposition 6.3 is undecidable.

**Solution.** Take any word  $\langle N \rangle$  with  $\text{Code}(\langle N \rangle)$ . We can effectively construct a TM  $K_{\langle N \rangle}$  with tape alphabet  $\{0, 1, \#\}$  which, for all inputs  $x \in \{0, 1, \#\}^*$ , yields the following result:

$$K_{\langle N \rangle}(x) = \begin{cases} 1 & \text{if } N(x) \text{ halts} \\ \nearrow & \text{else} \end{cases}$$

( $K_{\langle N \rangle}$  simply simulates  $N(x)$ , and if this halts,  $K_{\langle N \rangle}$  erases its tape and writes a 1, then halts). It clearly holds that  $N(x)$  halts iff there exists some  $y$  such that  $K_{\langle N \rangle}(x) = y$ . (namely,  $y = 1$ ), which in turn is equivalent with  $\langle K_{\langle N \rangle} \rangle ; x \in H_2$ . If  $H_2$  were decidable, so would  $H$ , mission impossible.

**Exercise 3 (medium difficult)** Show that the language

$$L = \{\langle M \rangle \in \{0, 1, \#\}^* \mid M \text{ halts on no input}\}$$

is not recursively enumerable. *Hint: in addition to a reduction argument, you might wish to also work in Proposition 3.1 from the lecture notes.*

**Solution.** First consider the complement language

$$L^c = \{w \in \{0, 1, \#\}^* \mid w \text{ is not a codeword } w = \langle M \rangle \text{ for any TM } M, \text{ or } w \text{ is a codeword } w = \langle M \rangle \text{ for some TM } M, \text{ and } M \text{ halts on some input}\}$$

$L^c$  is recursively enumerable: it can be accepted by a TM  $N$  which first checks whether  $w$  is a valid TM codeword. If no,  $N$  immediately accepts. If yes, that is, if  $w = \langle M \rangle$ ,  $N$  simulates  $M$  on all input words  $\langle x_1 \rangle, \langle x_2 \rangle, \dots$  in a "dovetailing" fashion, that is,  $N$  first simulates  $M$  on input  $x_1$  for  $k$  steps, then on inputs  $x_1$  and  $x_2$  for  $2k$  steps each, then on inputs  $x_1, x_2$  and  $x_3$  for  $3k$  steps, etc. If in one of these stages  $M$  is found to halt,  $N$  accepts.

Now if  $L$  would be recursively enumerable too, then  $L$  would be decidable. This can be seen, e.g., by reducing the language  $H_0 = \{\langle M \rangle \mid \text{Code}(\langle M \rangle) \text{ and } M \text{ halts on the empty input}\}$  from the lecture notes to  $L$ : assume  $L$  is decidable. Modify  $M$ , obtaining  $M'$  such that  $M'$  behaves like  $M$  on the empty input and runs into infinity on any nonempty input. Then,  $\langle M' \rangle \in L$  iff  $\langle M \rangle \in H_0$ , thus we could decide  $H_0$ , contradiction.